

**No. 4/29/2012-FR  
Planning Commission  
(Financial Resources Division)**

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**Subject: Estimates of Financial Resources for the Annual Plan 2013-14:  
Discussion with Chief Minister, Uttarakhand – FR Brief.**

A brief Note on Estimates of Financial Resources for the Annual Plan 2013-14 of **Uttarakhand** is enclosed for kind perusal please.

**(Shraddha Kothari)  
Young Professional (FR)  
21.05.2013**

1. PS to Deputy Chairman
2. PS to Member (BKC)
3. PS to Member (SC)
4. PS to Member (SH)
5. PS to Member (NJ)
6. PS to Member (AS)
7. PS to Member (MS)
8. PS to Member (KK)
9. PS to Member (AM)
10. PS to Secretary

Copy to:

- (i) Adviser (SP-Uttarakhand)
- (ii) JS(PF-I), D/o Expenditure, North Block, New Delhi
- (iii) Adviser (FR)/JS (SP)
- (iv) Director (SP-Coord.)
- (v) Director (FR)-HKH/KM/Consultant (FR) –SL
- (vi) Deputy Adviser (Uttarakhand)
- (vii) SRO (FR) –(JH/KG/SD)
- (viii) YP(FR) –(SK/JS)/FR Division

**Planning Commission**  
**(Financial Resources Division)**

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**Brief for Annual Plan 2013-14 - UTTARAKHAND**

**A. Plan Performance of the State:** Achievement of approved plan outlays during the Eleventh Five Year Plan (2007-08 to 2011-12) and the first year of the Twelfth Five Year Plan 2012-13 for the State of Uttarakhand are shown below.

**Achievement of the Plan Outlay**

Year	Originally Approved Outlay	Central Assistance (Grants)	Central Assistance as % of Approved Outlay	Actual Expenditure	Actual Exp/ Plan Outlay	GSDP at current prices	Actual Expenditure as % of GSDP
1	2	3	4	5	6	7	8
	(Rs. crore)		(%)	(Rs. crore)	(%)	(Rs. crore)	(%)
2007-08	4378.63	2238.33	51.12	3944.88	90.09	45856	8.60
2008-09	4775.00	2811.30	58.88	3653.57	76.51	56025	6.52
2009-10	5800.81	3417.14	58.91	5800.81	100	70736	8.20
2010-11	6800.00	3388.30	49.83	4475.10	65.81	82918	5.40
2011-12	7800.00	3698.82	47.42	5165.83	66.23	93162	5.54
Total Eleventh Plan	29554.44	15553.89	52.63	23040.19	77.96	348697	6.61
2012-13	8200.00	5125.61	62.51	NA	NA	107548	NA

GSDP as per CSO 2004-05 series (current prices).

**B. ASSUMPTIONS UNDERLYING THE ESTIMATION OF 2013-14 ANNUAL PLAN – UTTARAKHAND**

Indicators	Percentage increase over 2012-13 LE
Share in Central Taxes	18.05 % growth over 2012-13 LE
State Own Tax Revenues	17.04% growth over 2012-13 LE
Own Non Tax Revenues	(-) 2.54 % decline over 2012-13 LE
Interest Payments	13.00% growth over 2012-13 LE
Pension Payments	12.74% growth over 2012-13 LE

Note: State's Own Non Tax Revenue improved from Rs. 866 crore as per State Govt. to Rs. 1416 Crore (+550 under General Services)

### C. 13<sup>TH</sup> FINANCE COMMISSION GRANTS:

As per the recommendation of the 13<sup>th</sup> Finance Commission the total Grants for Uttarakhand for the year 2013-14 is Rs.729.79 crore. The Plan and Non Plan grants for Uttarakhand for 2013-14 are as under:

#### (i) Plan Grants

(Rs. Crore)

S.No	Grants	2010-11	2011-12	2012-13	2013-14
1	Elementary Education	31.00	35.00	40.00	45.00
2	Protection of Forests	25.68	25.68	51.36	51.36
3	Incentive for Issuing UIDs	7.20	7.20	7.20	7.20
4	District Innovation Fund	0.00	6.50	0.00	0.00
5	State specific Needs	0.00	175.00	175.00	175.00
A	<b>Total Plan Grants</b>	<b>63.88</b>	<b>249.38</b>	<b>273.56</b>	<b>278.56</b>

#### (ii) Non Plan Grants

(Rs. Crore)

S.No	Non- Plan Grants	2010-11	2011-12	2012-13	2013-14
1	Non Plan Revenue Déficit Grant	--	--	--	--
2	Performance Incentive	400.00	300.00	300.00	--
3	Local Bodies (Total)	72.70	113.20	166.30	196.60
i	Local Bodies (General Basic)	72.70	84.40	98.60	116.80
ii	Local Bodies (General Performance)	0.00	28.80	67.70	79.80
iii	Local Bodies( Spl. Area Basic Grants)	--	--	--	--
iv	Local Bodies (Spl Area Performance)	--	--	--	--
4	Disaster Relief Fund (Centre Share)	105.89	111.19	116.75	122.59
5	Capacity Building	4.00	4.00	4.00	4.00
6	Water Sector	0.00	19.00	19.00	19.00
7	Delivery of Justice	20.44	20.44	20.44	20.44
8	Grant for State Statistical Systems	2.60	2.60	2.60	2.60
9	Maintenance of Roads and Bridges	0.00	71.00	78.00	86.00
10	Employee & Pension database	2.50	--	--	--
B	<b>Total Non-Plan Grants</b>	<b>608.13</b>	<b>641.43</b>	<b>707.09</b>	<b>451.23</b>
	<b>TOTAL GRANTS</b>	<b>672.01</b>	<b>890.81</b>	<b>980.65</b>	<b>729.79</b>

### D. SALARY AND PAY REVISION

(Rs. crore)

	2011-12 Actuals	2012-13 (AP)	2012-13 (LE)	2013-14 State Est.
Salaries (Non -Dvt.)	1585.28	1586.28	1703.24	1744.91
Salaries (Dvt.)	4254.42	4827.22	5029.98	5419.71
Pay and DA revision	--	--	--	--
<b>Total (Salaries+ Pay &amp;DA)</b>	<b>5839.70</b>	<b>6413.50</b>	<b>6733.23</b>	<b>7164.61</b>
<i>% change over previous year</i>				
Salaries (Non -Dvt)	--	0.06	7.37	2.45
Salaries (Dvt)	--	13.46	4.20	7.75
Total (Salaries+ Pay &DA)	--	9.83	4.99	6.41

## **E. SCHEME OF FINANCING 2013-14 ANNUAL PLAN : UTTARAKHAND**

Draft Scheme of Financing (SOF) for 2013-14 Annual Plan is placed at **Annex –I**. Details of Allocation/Releases of Central Assistance are at **Annex- IA**. Balance of Current Revenue (BCR) details are at **Annex-II**. Details of the GBS and Total Central Assistance to the State of Uttarakhand are at **Annex III**.

## **F. ISSUES PERTAINING TO THE STATE FINANCES**

### **(i) Desired Plan Size:**

The Desired Plan size of the State of Uttarakhand for 2013-14 is **Rs. 8500 crore** (as per State's Annual Plan Document 2013-14) against the approved Plan Outlay of Rs.8200 crore in 2012-13(AP). The Plan resources for 2013-14 for Uttarakhand have been worked out including 13<sup>th</sup> Finance Commission Grants, Share of Central Taxes as per Union Budget, Normal Central Assistance as per Gadgil –Mukherjee Formula, Scheme wise ACAs have been included based on budgetary allocation, information from concerned Ministries/Subject Divisions and Budgetary borrowing as per MoF Ceiling.

### **(ii) Power Sector :**

Uttarakhand Power Corporation (UPCL) and Uttarakhand Jal Vidyut Nigam (UJVN) have been responsible for the development of Power Sector in the State. Uttarakhand Power Corporation has been further unbundled and transmission of electricity has been entrusted to Power Transmission Corporation of Uttarakhand (PTCUL) with effect from 1<sup>st</sup> April, 2006. Uttarakhand Power Corporation is now responsible for distribution of electricity in the State only.

### **Uttarakhand Jal Vidyut Nigam**

Jal Vidyut Nigam is projected to incur a commercial profit of Rs 10.89 crore and Rs. 15.63 crore in 2012-13 (RE) and 2013-14 respectively. The net internal resources of the Nigam are estimated at Rs. 33.86 crore and Rs. 37.96 crore for the year 2012-13 (RE) and 2013-14 respectively. .

### **Uttarakhand Power Corporation Ltd.**

Transmission Distribution losses of the Corporation are projected to decrease from 19.96% in 2011-12 (actual) to 19.00% in 2012-13 (RE) and further down to 18.25% in the next year 2013-14. It was reported that for the current billing, the collection efficiency is 95%, in view of this, the level of AT&C losses is estimated at 22.85%.in 2012-13 (RE).

Average tariff for sale of energy in the year 2013-14 is estimated at Rs. 3.84 per unit as compared the cost of supply of Rs. 5.00 per unit. The corresponding estimated figures for 2012-13 (RE) are Rs.3.84 and Rs. 4.85 per unit respectively. As a result of this gap between average cost of supply and average tariff, the Corporation is likely to incur a commercial loss of Rs. 730.47 crore in 2012-13 and Rs. 973.58 crore in 2013-14. Considering this level of losses, the net internal resources of the Corporation are estimated at Rs. (-) 175.91 crore and Rs. (-) 288.60 crore respectively during 2012-13 (RE) and 2013-14 respectively. It was observed that UPCL has to receive substantial revenue arrears have accumulated to Rs.2450 crore, which is about 71% the total sales revenue of Rs. 3442.80 crore during the year 2012-13 (RE).

## Power Transmission Corporation of Uttarakhand

Transmission Corporation earns revenue by wheeling electricity across the State. Currently, the total cost for wheeling of power is 13 paise. This Corporation is incurring a commercial loss of Rs. 4.55 crore in 2012-13 and has projected a profit of Rs. 60.23 crore in the next year (2013-14). Net internal resources of the Corporation for 2013-14 are estimated at Rs. (+) 52.01 crore.

**Total internal resources of all the three utilities in Uttarakhand work out to Rs. (-) 192.47 crore for Annual Plan 2013-14 as compared to Rs. (-) 198.63 crore for 2012-13 (RE).**

A broad break-up of the total commercial losses and Net internal resources of the Utilities in the State for the year 2012-13 (RE) and (2013-14) are as under:

S.No	Name of the Company	Commercial Profit/Loss		Internal Resources	
		2012-13 (RE)	2013-14 (AP)	2012-13 (RE)	2013-14 (AP)
1	UPCL	(-) 730.47	(-) 973.58	(-) 175.91	(-) 288.60
2	UJVN	(+) 10.89	(+) 15.63	(+) 33.86	(+) 37.96
3	PTCUL	(+) 4.55	(+) 60.23	(-) 50.42	(+) 52.01
Total		(-) 724.13	(-) 897.72	(-) 192.47	(+) 198.63

### (iii) Borrowing Ceiling:

Sl.	Items	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14
1	State Govt. Budgetary Borrowings	1425.00	1722.00	1734.00	2738.00	3433.00	3112.39
2	M/o Finance Ceiling	880.00	977.00	1734.00	2738.00	3433.00	3621.00
3	GSDP - Current Prices	56025	70736	82918	93162	107548	121099
4	BB as % GSDP	2.54	2.43	2.09	2.94	3.19	2.57
5	MoF Ceiling as % gsdp	1.57	1.38	2.09	2.94	3.19	2.99

GSDP projected at Rs. 12101 crore for 2013-14 (growth rate of 12.6% as per 13<sup>th</sup> F.C).

### (iii) The SPA/SCA for Uttarakhand is as follows:

Year/ Items	2010-11	2011-12	2012-13
SPA (Grants)	300	100	300
SCA (Grants)	60	500	700
Total	<b>360</b>	<b>600</b>	<b>1000</b>

Note: In addition for 2012-13 Rs. 500 crore subject to availability of addl. Resources of MoF.

**PROFILE OF UTTARAKHAND:** The profile of Uttarakhand economy and its Fiscal overview is given below in Table 1-5 and Tables 6 - 9 respectively

**Table-1**  
**Growth of GSDP at constant prices**

(in percent)

Sl. No.	States	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13
1	Arunachal Pradesh	12.06	8.73	9.86	1.25	10.84	4.77
2	Assam	4.82	5.72	9.00	7.89	6.47	6.88
3	Manipur	5.96	6.56	6.89	5.07	6.71	7.14
4	Meghalaya	4.51	12.94	6.55	8.72	6.31	8.90
5	Mizoram	10.98	13.34	12.38	7.25	10.09	NA
6	Nagaland	7.31	6.34	6.90	5.46	5.09	5.25
7	Sikkim	7.61	16.39	73.61	8.13	8.17	NA
8	Tripura	7.70	9.44	10.65	8.20	8.67	8.62
9	Himachal Pradesh	8.55	7.42	8.09	8.74	7.44	6.24
10	Jammu & Kashmir	6.40	6.46	4.51	5.96	6.22	7.01
<b>11</b>	<b>Uttarakhand</b>	<b>18.12</b>	<b>12.65</b>	<b>18.13</b>	<b>9.94</b>	<b>5.28</b>	<b>6.87</b>
All-India GDP (2004-05 base)		9.32	6.72	8.59	9.32	6.21	4.96

Source: Central Statistics Office (2004-05 Series) as on 27.02.2013

**Table-2**  
**Per Capita NSDP at current prices**

(In Rupees)

Sl. No.	States	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13
1	Arunachal Pradesh	34352	39656	51031	59415	74059	81583
2	Assam	21290	24099	28383	33348	37250	42036
3	Manipur	23093	24773	27095	29281	32865	36290
4	Meghalaya	34229	40583	43142	48690	53542	60156
5	Mizoram	32488	38582	42715	48591	54689	NA
6	Nagaland	39985	46207	50263	53635	56461	59535
7	Sikkim	36448	46983	90749	104506	121440	NA
8	Tripura	31111	35587	39815	45087	50175	55004
9	Himachal Pradesh	43966	49903	58402	67475	74694	82611
10	Jammu & Kashmir	27448	30212	33650	38880	44533	50806
<b>11</b>	<b>Uttarakhand</b>	<b>42619</b>	<b>50657</b>	<b>62764</b>	<b>72217</b>	<b>79940</b>	<b>90843</b>
All-India per capita NNI		<b>35825</b>	<b>40775</b>	<b>46249</b>	<b>54151</b>	<b>61564</b>	<b>68747</b>

Source: Central Statistics Office (2004-05 Series) as on 27.02.2013

**Table 3**  
**Sectoral Growth Rates of GSDP**

(in percent)

Sectors/ Years	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13
<b>Agr. and Allied</b>	2.09	-3.66	9.63	5.52	3.31	3.90
<b>Industry</b>	23.08	12.39	19.67	10.16	5.41	8.39
<b>Services</b>	20.41	17.70	19.19	10.78	5.61	6.50
<b>Overall</b>	<b>18.12</b>	<b>12.65</b>	<b>18.13</b>	<b>9.94</b>	<b>5.28</b>	<b>6.87</b>

Source: CSO (2004-05 series) and 11<sup>th</sup> Plan Documents

**Table 4**  
**Credit Deposit Ratio of Uttarakhand**

Items	2007	2008	2009	2010	2011	2012
<b>Deposit (Rs. cr.)</b>	29318	36337	45162	42395	49180	56735
<b>Credit (Rs. cr.)</b>	7911	9680	11575	14328	17300	20206
<b>CD ratio</b>	0.27	0.27	0.26	0.34	0.35	0.36
<b>ROG(deposit)</b>	--	23.94	24.29	-6.13	16.00	15.36
<b>ROG(credit)</b>	--	22.36	19.58	23.78	20.74	16.80

Source : Quarterly Statistics on Deposits and Credit of Scheduled Commercial Banks, March 2011 & 2012, RBI.

**Table-5**  
**Credit Deposit Ratio of Neighbouring States**

States	2007	2008	2009	2010	2011	2012
Arunachal Pradesh	0.27	0.29	0.25	0.25	0.23	0.22
Assam	0.43	0.41	0.38	0.37	0.36	0.37
Himachal Pradesh	0.42	0.44	0.39	0.41	0.40	0.37
Jammu & Kashmir	0.47	0.48	0.46	0.47	0.37	0.34
Manipur	0.53	0.47	0.39	0.41	0.33	0.30
Meghalaya	0.36	0.30	0.28	0.25	0.24	0.25
Mizoram	0.54	0.56	0.59	0.48	0.43	0.38
Nagaland	0.29	0.33	0.31	0.30	0.26	0.27
Sikkim	0.52	0.48	0.42	0.37	0.38	0.32
Tamil Nadu	1.12	1.13	1.09	1.13	1.14	1.16
Tripura	0.34	0.34	0.30	0.29	0.31	0.31
<b>Uttarakhand</b>	<b>0.27</b>	<b>0.27</b>	<b>0.26</b>	<b>0.34</b>	<b>0.35</b>	<b>0.36</b>
All India	0.75	0.74	0.73	0.73	0.75	0.78

Source : Quarterly Statistics on Deposits and Credit of Scheduled Commercial Banks, March 2011 & 2012, RBI.

**Table 6:**  
**Fiscal Profile of Uttarakhand**

(as % of GSDP)

Items/ Years	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13 LE
States Own Tax Revenues	5.97	5.44	5.03	5.31	6.03	5.56
Revenue Deficit (-)	1.39	0.43	-1.66	-0.02	0.77	0.41
Fiscal Deficit (-)	-3.80	-3.29	-3.93	-2.22	-1.89	-3.12
Primary Deficit (-)	-1.41	-1.17	-2.04	-0.44	0.01	-1.24
Outstanding Liabilities (OL)	28.43	25.78	24.07	23.89	23.35	23.27
IP/ TRR (%)	8.41	8.22	7.86	7.47	8.13	8.09

IP : Interest Payments; TRR: Total Revenue Receipts

Source: BOE- 2013-14 and GSDP as per CSO (2004-05 series)

**Table 7**  
**State Own Tax Revenue - Uttarakhand**  
**(A comparison with neighbouring States)**

(as % of GSDP)

SL No.	States	2007-08 (Actuals)	2008-09 (Actuals)	2009-10 (Actuals)	2010-11 (Actuals)	2011-12 (Pre Actuals)	2012-13 (BE)
	<b>Special Category</b>						
1	Arunachal Pradesh	2.04	2.39	2.32	2.46	2.45	2.27
2	Assam	4.73	5.12	4.20	6.79	5.77	5.09
3	Manipur	2.17	2.30	2.37	3.44	3.54	2.78
4	Meghalaya	3.28	3.18	3.50	3.93	4.31	3.92
5	Mizoram	2.03	2.07	2.05	2.15	2.42	2.26
6	Nagaland	1.63	1.65	1.49	2.01	2.48	2.19
7	Sikkim	7.90	6.17	3.65	3.91	3.50	3.74
8	Tripura	3.14	3.26	3.42	3.55	4.31	4.04
9	Himachal Pradesh	5.77	5.41	5.34	6.46	6.44	7.02
10	Jammu & Kashmir	6.90	6.34	6.26	6.11	7.26	7.12
<b>11</b>	<b>Uttarakhand</b>	<b>5.97</b>	<b>5.44</b>	<b>5.03</b>	<b>5.31</b>	<b>6.03</b>	<b>5.56</b>
	<b>Average SCS</b>	<b>4.14</b>	<b>3.94</b>	<b>3.60</b>	<b>4.19</b>	<b>4.41</b>	<b>4.20</b>

Source: Based on CSO-new series 2004-05 current prices as on 27.02.2013

**Table 8**  
**Per Capita Profile of the State- Uttarakhand**

(In Rupees)

Items/ Years	2007-08	2008-09	2009-10	2010-11	2011-12
Own Tax Revenue	2897.86	3172.85	3653.37	4456.73	5615.62
Revenue Receipts	8349.48	8997.49	9737.35	11743.21	13691.24
Plan Expenditure	4429.30	4368.45	3911.07	4418.10	4625.12
Total Expenditure	11095.71	12050.28	14572.94	14887.05	17463.02

Note: Population figures of 2011-12 based on linear trend



**Table 9**  
**Fiscal Overview: Uttarakhand**

(Rs. crore)

Sl. No.	Item/Year	2007-08	2008-09	2009-10	2010-11	2011-12 Pre Actual	2012-13 (BE)	2013-14 (State Est.)
1	2	3	4	5	6	7	10	11
1	<b>Tax Revenue</b>	4166.45	4551.50	5109.05	6865.55	8481.66	9368.71	10419.58
1a	<b>State's Own Tax Revenue</b>	2738.77	3044.98	3559.11	4405.48	5615.62	5980.26	7031.13
1b	<b>Share in Central Taxes</b>	1427.68	1506.52	1549.94	2460.07	2866.04	3388.45	3388.45
2	<b>Non Tax Revenue</b>	3724.64	4083.39	4377.08	4742.61	5209.58	6790.24	7006.53
2a	<b>State's Own Non- Tax Revenue</b>	668.38	699.36	631.86	678.06	1136.13	1208.17	866.25
2b	<b>Grants</b>	3056.26	3384.03	3745.22	4064.55	4073.45	5582.07	6140.28
3	<b>Total Revenue Receipts</b>	7891.09	8634.89	9486.13	11608.16	13691.24	16158.95	17426.11
4	<b>Capital Receipts</b>	1977.76	2273.24	3137.21	3173.04	3334.43	4884.10	3181.00
5	<b>Total Receipts</b>	9868.85	10908.13	12623.34	14781.20	17025.67	21043.05	20607.11
6	<b>Revenue Expenditure</b>	7254.56	8395.36	10657.48	11621.08	12975.19	15717.11	17487.58
6a	<b>Plan</b>	1833.86	2174.47	2299.05	2472.50	2321.08	3151.62	3466.78
6b	<b>Non-Plan Revenue Exp. ( of which )</b>	5420.70	6220.89	8358.43	9148.58	10654.11	12565.49	14020.80
	<b>i) Interest Payments</b>	1095.93	1187.51	1337.97	1479.58	1769.21	2025.00	2288.25
	<b>ii) Pensions</b>	622.87	828.25	1047.30	1141.72	1135.00	1439.80	1623.22
	<b>iii) Salaries</b>	2302.28	3349.91	4811.21	4966.14	5244.35	6513.18	7164.62
	<b>vi) Others</b>							
7	<b>Capital Expenditure</b>	3232.00	3169.29	3539.48	3094.77	4487.83	6214.66	6499.55
7a	<b>Plan</b>	2352.27	2017.93	1511.11	1894.79	2304.04	3897.34	3904.15
7b	<b>Non Plan</b>	879.73	1151.36	2028.37	1199.98	2183.79	2317.32	2595.40
8	<b>Capital Outlay</b>	2234.82	2016.33	2136.73	1526.55	2316.93	3653.48	3856.15
8a	<b>Plan</b>	2156.52	1902.48	1482.46	1530.22	2071.00	3635.49	3836.15
8b	<b>Non Plan</b>	78.30	113.85	654.27	-3.67	245.93	17.99	20.00
9	<b>Loans &amp; Advances</b>	212.54	121.71	30.05	59.68	246.83	231.27	71.00
9a	<b>Plan</b>	195.75	115.44	28.64	36.27	246.83	229.07	68.00
9b	<b>Non Plan</b>	16.79	6.27	1.41	23.41		2.20	3.00
10	<b>Total Expenditure</b>	10486.56	11564.65	14196.96	14715.85	17463.02	21931.77	23987.13
10a	<b>Plan</b>	4186.13	4192.40	3810.16	4367.29	4625.12	7048.96	7370.93
10b	<b>Non Plan</b>	6300.43	7372.25	10386.80	10348.56	12837.90	14882.81	16616.20
11	<b>Revenue Deficit(-)/Surplus(+)</b>	636.53	239.53	-1171.35	-12.92	716.05	441.84	-61.47
12	<b>Fiscal Deficit</b>	-1742.40	-1844.96	-2783.32	-1842.57	-1757.08	-3357.59	-3380.02
13	<b>Primary Deficit(-)/Surplus(+)</b>	-646.47	-657.45	-1445.35	-362.99	12.13	-1332.59	-1091.77
14	<b>Total Outstanding Liabilities(as at the end of the year)</b>	13037.46	14443.35	17029.45	19806.99	21752.77	25028.11	28141.11
15	<b>GSDP (at current prices)</b>	45856.00	56025.00	70736.00	82918.00	93162	107548	
16	<b>Population ( in Cr)</b>	0.95	0.96	0.97	0.99	1.00	1.001	

Note: Population figures for 2011-12 based on linear trend.

**Annex-I**

**DRAFT SCHEME OF FINANCING FOR THE ANNUAL PLAN 2013-14 – UTTARAKHAND**

(Rs. crore)

S.No.	Items	2011-12 Actual	2012-13 Approved	2012-13 L.E	2013-14 (State Est.)	2013-14 (FR Est.)
<b>A</b>	<b>State Government</b>					
<b>1</b>	<b>STATE's OWN RESOURCES</b>	<b>(-)455.47</b>	<b>(-)713.61</b>	<b>(-)827.05</b>	<b>(-)1803.52</b>	<b>(-)625.31</b>
a.	Balance from Current Revenues	(-)415.46	(-)1177.73	(-)1292.16	(-)2229.29	(-)1051.07
b.	MCR (excluding deductions for repayment of loans)	-174.17	98.11	98.10	47.21	47.21
c.	Plan Grants from GOI (TFC)	134.16	273.56	273.56	278.56	278.56
d.	ARM		92.45	93.45	100.00	100.00
e.	Adjustment of Opening balance					
<b>2</b>	<b>2.State Government's Budgetary Borrowings (i-ii)</b>	<b>2184.62</b>	<b>3433.00</b>	<b>3433.00</b>	<b>3112.39</b>	<b>3621.00</b>
(i)	Gross Borrowings (a to e)	2877.50	4876.33	4876.33	4408.87	4917.48
a.	Net Accretion to State Provident fund	626.05	300.00	300.00	300.00	300.00
b.	Gross small savings	536.39	583.20	583.20	800.00	800.00
c.	Gross Market Borrowings	1446.40	3548.13	3548.13	2863.87	3372.48
d.	d. Gross Negotiated Loans (i to iv)	268.66	445.00	445.00	445.00	445.00
	<i>NABARD</i>	<i>267.65</i>	<i>440.00</i>	<i>440.00</i>	<i>440.00</i>	<i>440.00</i>
	<i>Other (specify)( NCDC)</i>	<i>1.01</i>	<i>5.00</i>	<i>5.00</i>	<i>5.00</i>	<i>5.00</i>
e.	Bonds / Debentures					
(ii)	<b>(ii) Repayments (a to e)</b>	692.88	1443.33	1443.33	1296.48	1296.48
a.	Repayments to GOI Loans	26.26	30.00	30.00	30.00	30.00
b.	Repayments to NSSF	204.22	234.10	234.10	245.61	245.61
c.	Repayments to Negotiated Loans	164.47	167.16	167.16	190.00	190.00
d.	Others Repayments	57.20	62.20	62.20	67.00	67.00
e.	Market Borrowings	240.73	949.87	949.87	763.87	763.87
<b>3</b>	<b>Central Assistance (Details in Annex IA)</b>	<b>2832.32</b>	<b>5125.61</b>	<b>3088.91</b>	<b>3788.79</b>	<b>4894.31</b>
	<b>Total A: State Government Resources (1+2+3)</b>	<b>4561.47</b>	<b>7845.00</b>	<b>5694.86</b>	<b>5097.67</b>	<b>7890.00</b>
<b>B.</b>	<b>Public Sector Enterprises (PSEs)</b>					
1	Internal resources					
2	Extra Budgetary Resources					
3	Budgetary Support					
	<b>Total B: PSEs (1+2+3)</b>	615.04	355.00	355.00	300.00	400.00
	<b>Total C: Local Bodies(i+ii)</b>					
	<b>E. AGGREGATE PLAN RESOURCES (A+B+C)</b>	<b>5555.32</b>	<b>8200.00</b>		<b>5397.67</b>	<b>8290.00</b>

**Note: Modifications in FR estimates**

BCR from Rs. (-) 2229 crore to Rs.(-) 1051 crore.

Share in central taxes Rs.3896 crore as against Rs.3388 crore in State est. (+Rs.508 crore).

Lower growth in Other NPRE (improvement Rs.121 crore in NPRE)

States' non tax revenue (General services) increased by Rs. 550 crore.

**Annex IA**

**DETAILS OF CENTRAL ASSISTANCE: UTTARAKHAND**

(Rs. crore)

Sl. No.	Items	2011-12	2012-13	2012-13	2013-14	2013-14
		Releases	AP	Releases upto 31.3.2013	State Est.	FR Est.
<b>(a)</b>	<b>Normal Central Assistance SPA and SCA</b>					
1	Normal Central Assistance (NCA)	1235.31	1372.54	1355.03	1509.79	1482.33
2	Special Plan Assistance (SPA)	99.90	300.00	300.00		350.00
3	Special Central Assistance (SCA)- untied	500.00	700.00	700.00		675.00
	SPA (resources subject to MoF)		500.00			
	<b>Sub total of (a)</b>	<b>1835.21</b>	<b>2872.54</b>	<b>2355.03</b>	<b>1509.79</b>	<b>2507.33</b>
<b>(b)</b>	<b>Flagship ACA Schemes</b>					
1	National Social Assistance Programme (NSAP), including Annapurna	75.78	89.63	79.05	98.59	99.57
2	Backward Region Grant Fund (BRGF)	44.85	47.24	46.84	51.96	60.80
3	Accelerated Irrigation Benefit Programme (AIBP)	255.74	400.00	148.80	400.00	444.26
4	Jawaharlal Nehru National Urban Renewal Mission (JNNURM)	86.17	239.47	75.91	239.47	250.00
5	Rashtriya Krishi Vikas Yojana (RKVY)	128.84	44.36	8.21	48.80	100.00
	<b>Sub total of (b)</b>	<b>591.38</b>	<b>820.70</b>	<b>358.81</b>	<b>838.82</b>	<b>954.63</b>
<b>(c)</b>	<b>Other ACA schemes</b>					
1	Tribal Sub Plan (TSP)	1.61	1.98	1.98	2.18	1.98
2	Grants Under Proviso to Article 275 (1)		3.56	3.56	3.92	3.56
3	Border Areas Development Programme	32.98	35.65	33.65	39.22	35.65
4	Roads and Bridges	32.60	34.01	34.01	37.41	34.01
5	National E-Governance Action Plan (NEGAP)	3.36	2.86	2.86	3.15	2.84
6	ACA for EAPs	417.60	1354.31	307.41	1354.31	1354.31
	<b>Sub total of (c)</b>	<b>488.15</b>	<b>1432.37</b>	<b>380.61</b>	<b>1440.19</b>	<b>1432.35</b>
	<b>Total of A: (a+b+c)</b>	<b>2914.74</b>	<b>5125.61</b>	<b>3097.31</b>	<b>3788.80</b>	<b>4894.31</b>
<b>B</b>	<b>Direct Transfer</b>					
1	Members of Parliament Local Area Development (MPLADS)	28.00	30.00	30.00	30.00	30.00
	<b>Grand Total A+B</b>	<b>2942.74</b>	<b>5155.61</b>	<b>3127.31</b>	<b>3818.80</b>	<b>4924.31</b>

**Annex II****BALANCE FROM CURRENT REVENUES FOR ANNUAL PLAN 2013-14 -  
UTTARAKHAND****(Rs. crore)**

S.No	Items	2011-12 Actual	2012-13 Approved	2012-13 L.E	2013-14 State Est.	2013-14 FR Est.
<b>I.</b>	<b>NON-PLAN REVENUE RECEIPTS (1 to 4)</b>	<b>10238.64</b>	<b>11287.97</b>	<b>11607.27</b>	<b>11791.51</b>	<b>12848.45</b>
1.	Share in Central Taxes	2866.04	3388.45	3300.75	3388.45	3896.39
2.	State's own Tax revenues	5615.62	5980.25	6007.65	7031.13	7031.13
3.	State's own Non-Tax Revenues	1136.12	1208.17	1453.17	866.25	1416.25
4.	<b>Non-Plan Grants (4.1 to 4.4)</b>	620.86	711.10	845.70	505.69	504.69
4.1	Revenue Deficit Grants	--	--	--	--	--
4.2	Central share of Calamity Relief Fund	115.19	116.75	205.60	126.59	126.59
4.3	Local Bodies	96.89	166.30	166.30	196.60	196.60
4.4	Other Non Plan Grants	408.78	428.05	473.80	182.50	181.50
<b>II.</b>	<b>NON-PLAN REVENUE EXPENDITURE (5 to 8)</b>	<b>10654.10</b>	<b>12465.70</b>	<b>12899.43</b>	<b>14020.80</b>	<b>13899.53</b>
5.	<b>Non-Development Expenditure (5.1 to 5.5)</b>	4854.90	5436.22	5553.18	6095.34	6084.83
5.1	Interest Payments	1769.21	2025.00	2025.00	2288.25	2288.25
5.2	Appropriation for reduction/avoidance of debt	25.00	160.00	160.00	180.80	180.80
5.3	Pension Payments	1135.00	1439.80	1439.80	1623.22	1623.22
5.4	Salaries	1585.28	1586.28	1703.24	1744.91	1744.91
5.5	Others	340.41	225.14	225.14	258.17	247.65
6.	<b>Developmental Expenditure (6.1 to 6.2)</b>	5420.40	6181.56	6498.33	7100.93	6990.18
6.1	Salaries	4254.42	4827.22	5029.98	5419.71	5419.71
6.2	Others	1165.98	1354.34	1468.34	1681.23	1570.47
7.	<b>Pay and DA revision ( Not included in 5.3 and 6.1)</b>	--	--	--	--	--
8	<b>Non Plan Transfers to Local Bodies</b>	378.80	847.92	847.92	824.53	824.53
8.1	Urban Local Bodies	189.40	423.96	423.96	412.26	412.26
8.2	Rural Local Bodies	189.40	423.96	423.96	412.26	412.26
<b>III.</b>	<b>BCR Without ARM (I-II)</b>	<b>(-)415.46</b>	<b>(-)1177.73</b>	<b>(-)1292.16</b>	<b>(-)2229.29</b>	<b>(-)1051.07</b>

**Annex-III**  
**Uttarakhand**

**GROSS BUDGETARY SUPPORT (GBS) in 2011-12, 2012-13 & 2013-14**

(Rs. crore)

<i>Item</i>	<i>2011-12 Actual</i>	<i>2012-13 RE</i>	<i>2013-14 BE</i>	<i>2012-13 RE/ 2011-12 Actual (Y-O-Y Growth)</i>	<i>2013-14 BE/ 2012- 13 RE (Y-O-Y Growth)</i>	<i>Percentage Share of Total GBS 2013-14BE</i>
GBS for States & UTs Plan*	1,04,016	1,12,002	1,36,254	7.67	21.65	24.54
GBS for Central Ministries	3,08,359	3,17,185	4,19,068	2.86	32.12	75.46
<b>Total GBS</b>	<b>4,12,375</b>	<b>4,29,187</b>	<b>5,55,322</b>	<b>4.07</b>	<b>29.39</b>	<b>100.00</b>

*Including Union Territories with & without legislatures.*

**TOTAL CENTRAL ASSISTANCE TO STATES IN 2011-12, 2012-13 & 2013-14**

(Rs. crore)

<i>Item</i>	<i>2011-12 Actual</i>	<i>2012-13 RE</i>	<i>2013-14 BE</i>	<i>2013-14 RE/ 2011- 12 Actual (Y-O-Y Growth)</i>	<i>2013-14 BE/ 2012- 13 RE (Y-O-Y Growth)</i>	<i>Percentage Share of Total Assistance to States/UTs 2013-14BE</i>
Central Assistance to States & UTs Plan \$	98,774	1,08,626	1,31,757	9.97	21.29	41.36
Centrally Sponsored schemes (CSS)	1,49,220	1,44,088	1,86,816	-3.44	29.65	58.64
<b>Total Assistance to States/UTs</b>	<b>2,47,994</b>	<b>2,52,714</b>	<b>3,18,573</b>	<b>1.90</b>	<b>26.06</b>	<b>100.00</b>

*\$ Excluding Union Territories with legislatures.*

**TOTAL CENTRAL ASSISTANCE FROM CENTRE TO UTTARAKHAND IN 2010-11, 2011-12 & 2012-13**

(Rs. crore)

<b>Items</b>	<b>2010-11 Releases</b>	<b>2011-12 Releases</b>	<b>2012-13 Releases</b>
<b>Central Assistance to States &amp; UTs Plan</b>			
All India	87157.63	99644.32	101280.44
Uttarakhand	2280.00	2900.02	3118.92
Uttarakhand (% to All India)	2.62	2.91	3.08
<b>Centrally Sponsored Schemes</b>			
All India	159575.39	161761.85	153908.75
Uttarakhand	1769.60	1933.72	1598.76
<b>Uttarakhand (% to All India)</b>	<b>1.11</b>	<b>1.20</b>	<b>1.04</b>
<b>Total (CA to States +CSS)</b>			
All India	246733.02	261406.17	255189.19
Uttarakhand	4049.60	4833.74	4717.68
Uttarakhand (% to All India)	1.64	1.85	1.85

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