

**Project Proposal**  
**for**  
**Human Development : Towards Bridging Inequalities**  
**(HDBI)**  
**(2012-2016)**

**Establishing Human Development Resource Center (HDRC)**  
**(Institutionalising Human Development related training, research**  
**and preparation of HDRs in Maharashtra State)**

**Prepared Jointly by**  
**Department of Planning, Government of Maharashtra**  
**and**  
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**(YASHADA)**

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## **1 Background**

Maharashtra State Government has published its first State Human Development Report (SHDR) in 2002. The State established Human Development Mission in 2006 with the aim of improving Human Development Index (HDI) in the backward blocks of Maharashtra. The Mission implemented schemes related to health, education and agriculture in 25 blocks of Maharashtra since 2006-09. In 2010-11 the Mission was transformed in Human Development Commissionerate (HDC). The State selected 125 blocks on the basis of low female literacy as per 2001 Census and the percentage of BPL households as per 2002 survey. HDC implemented 13 schemes related to health, education, agriculture and livelihood in these 125 blocks in the year 2010-11. The evaluation of these schemes is in process.

The State meanwhile decided to prepare the second SHDR, 2012. Yashada, the apex training institute of Government of Maharashtra has been assigned responsibility of preparation of SHDR 2012. (Government Resolution number MMAVI-2011/pr.kra.21/ka.1418, dated 13 April 2011) The report is in its final stage of preparation.

The State desires to allocate the resources as per the status of Human Development rather than any other criteria. Further the State wants the block level human development scenario. The GR mentions the preparation of annual HDR and formulation of block level HDI as well. The form of annual HDR could be decided in the due course.

The lessons learnt during the course of preparation of SHDR 2012 and the implementation of schemes by HDC are as follows:

1. The foremost problem in the preparation of SHDR is availability of reliable and consistent data especially at block level. The data about income is not available at block level. Further the available data at district and block level might not be disaggregated by gender, social groups, area wise (rural, urban), income groups etc. The Directorate of Economics and Statistics collates the data from Government Departments, which may have inconsistencies. It is necessary to establish a system for collecting reliable and consistent data with a view to preparing annual SHDR.
2. SHDR 2012 has identified the blocks and the districts where it is necessary to plan and implement sectorwise (Education, health, income etc) interventions. The systematically planned sensitization programmes for the stakeholders about SHDR findings in their respective areas will make them aware of the human development status.
3. In depth enquiry is required in certain issues which are evolved in SHDR. This will help the policy makers to take action in the respective areas.
4. Strengthening data systems so as to obtain consistent and reliable data each year is extremely essential.
5. The benchmarking of human development indicators is required so as to assess the effectiveness of HDC programmes.

6. There is a need to build the capacity of district planning functionaries to identify sectors and areas where the resource allocation
7. Preparation of District HDRs will facilitate the planning process at district level as blocks and sectors of interventions will be identified
8. The in depth exploration of area specific and community specific interventions are required to plan so as to guide HDC. This will facilitate the improvement of HDI in certain areas.

The activities proposed in the present project proposal are based on the above lessons learnt.

## **2. Aims and Objectives of the Resource Center**

We have felt a need to institutionalise Human Development related training, documentation, research and preparation of Human Development Reports. We are proposing a Human Development Resource Center (HDRC) at YSHADA for the same. (Annexure A about YASHADA) The aim of the HDRC will be to support, facilitate, conduct and promote the human development related research, training and HDR preparation for the State.

The objectives of the present Action Programmes are as follows:

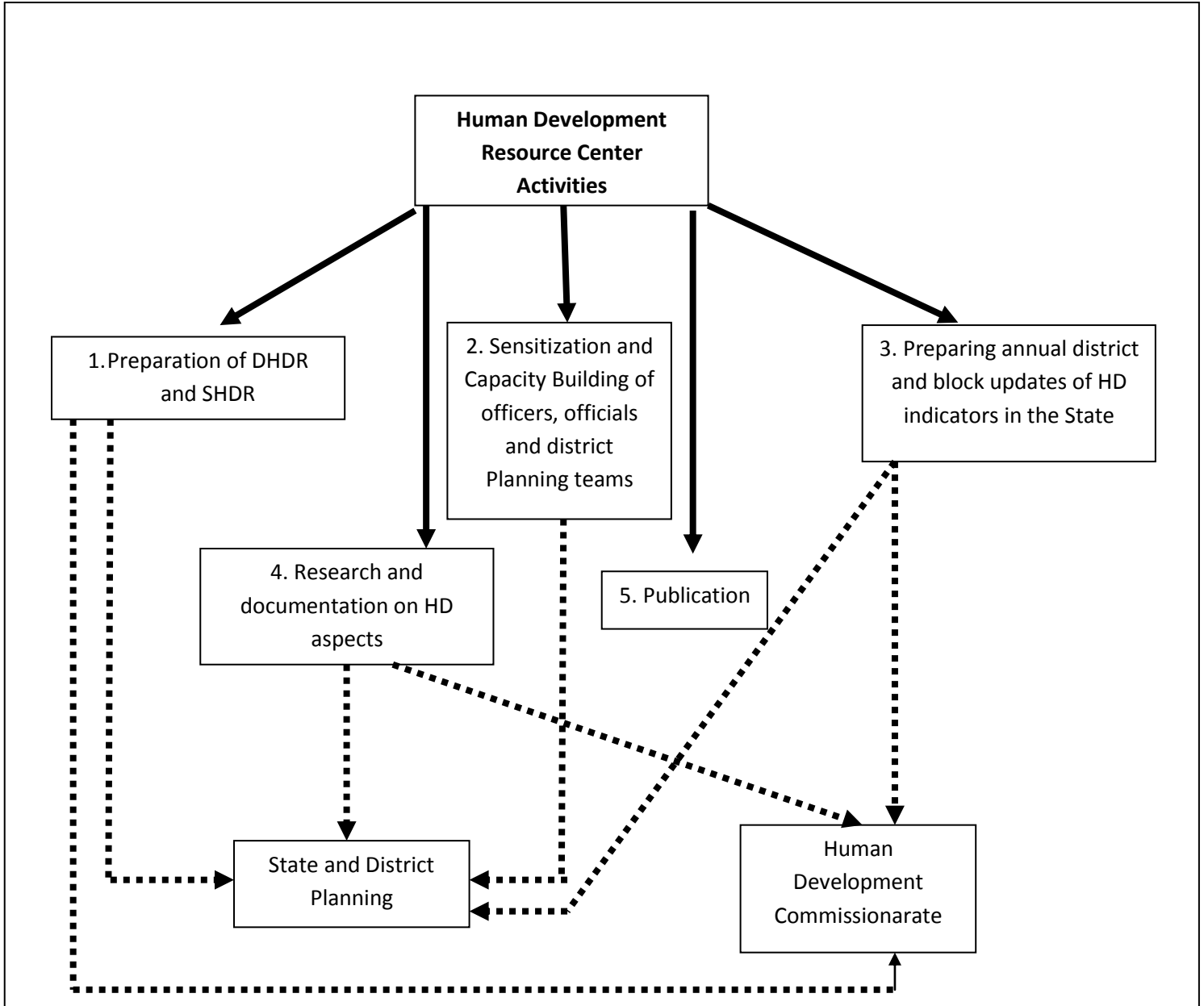
- To prepare annual block and district level updates regarding Human Development Indicators in the State
- To sensitize people's representatives, the district and block level Government and Non-Governmental functionaries about the status of human development in the respective areas
- To build the capacity of the district planning functionaries to prepare the district plans on the basis of the human development indicators in the district
- To document the good practices in the state for improvement of HDI
- To explore the areas of interventions required for improving the HDI
- To prepare district HDRs

## **3. Project Activities**

The activities of HDRC proposed for five years are as follows:

- A. Preparation of Annual updates of district and block level Human Development Indicators
- B. Sensitization and capacity building programmes
- C. Research and documentation
- D. Preparation of DHDRs
- E. Publication

The linkages of all the above activities are indicated in the diagram below.



## **A Preparing District and block level HD indicators updates**

As mentioned earlier the State desires to produce annual HDR. The annual document can take the form of updates of definite set Human development indicators. This update will constitute district level HDI as well as block level analysis of human development indicators. The set of indicators and the data sources could be decided in due course.

YASHADA is in the process of conceptualizing block level HDI and the proxy indicators in one of the blocks of Maharashtra. The data of 6 blocks is collected through micro planning exercise by the trained investigators. One of the blocks among them is being considered for conceptualizing HDI.

The methodology to prepare HDI of other blocks will be developed. The monitorable indicators for district and block level will be decided. The data sources is finalized so as to prepare annual district and block level HDI update. This will be done through the following process:

- Establishing core team to develop methodology for formulating block HDI and deciding monitorable indicators at district and block level
- Workshops of experts
- Conceptualising the block HDI (1<sup>st</sup> year)
- Deciding the data sources and the methodology (1<sup>st</sup> year)
- Strengthening the data collection mechanisms (1<sup>st</sup> to 5<sup>th</sup> year)
- Preparing updates of HD indicators of one block (1<sup>st</sup> to 5<sup>th</sup> year)
- Setting mechanism for Preparing annual updates HD indicators for all 357 blocks and 35 districts (each year for 5 years)

The district and block updates of HD indicators will be a tool for the policy makers, implementers and the Planners.

## **B Sensitization programmes**

The objective of the sensitization programmes is to make aware the participants of the HD status of their districts/blocks. The objective of the capacity building programmes is to develop knowledge base for HD, HDI and attitude towards HD based planning. The details of the sensitization programmes are provided in the following chart A:

**Chart A**  
**Sensitization and capacity building programmes for five years**

SN	Title of the Programme	Participants (Target Group)	Number of participants Per programmes	Number of Programmes each year	Total Number of Participants (each year)	Total Number of Participants (for 5 years)	Programme Duration
1	Sensitization programme for People's Representatives	Elected representatives)	40	10	400	The two programmes are proposed in 2013 and 2016	0.5 Days
2	Sensitization programme for Senior Officers	Joint Secretaries and Deputy Secretaries, Directors of School Education, Higher and Technical Education, Health Department, Department of Women and Child, Rural Development Department and Urban Development etc	30	15	30 (1 <sup>st</sup> year) + 450 (for next four years)	30 for first year 1800 for next 4 years	1 Day
3	Capacity Building Programme for District Statistical Officers	District Statistical Officers	40	1	40	40 (All DSOs each year)	2 Days
4	Sensitization Programmes for district level functionaries*	District level HoDs	30	35	1050	1050 (All district HoDs each year)	1 day
5	Capacity Building programmes for DPOs	DPOs	35	1	35	35 (All DSOs each year)	2 days

\* The officers from the blocks with poor health, education and income indices will be invited. The reading material for the participants will be prepared.

## **C Research and documentation**

One research study will be commissioned each year. Research Studies each year could be decided in consultation of the State Planning Department, Human Development Commission and YASHADA. Some of the research studies proposed are as follows:

- Assessment of people's perception by using PAHELI tool
- Education and health outcomes and determinants of seasonal migrants in Maharashtra
- Evaluation of schemes implemented by HDC
- Assessment of housing and sanitation conditions of STs
- Exploring Livelihood opportunities in the districts with low income index
- Assessment of input, process and outcome indicators in Education and Health in the districts with low HDI
- One Case studies of good practices in improving HD indicators (for documentation)

The researches will be useful for determining the schemes to be implemented by HDCs each year.

The researches will be conducted through YASHADA as it has established the capacity of research and evaluation. YASHADA is identified as a nodal agency for training and evaluation as per the State training Policy. YASHADA is also in the process of establishing policy school.

## **D. Preparation of DHDR and SHDR**

We propose to prepare DHDRs of 2 districts each year and SHDR in 2016 so that it can be linked with the 13<sup>th</sup> five year plan. HDRC will prepare SHDR. The DHDR will be prepared by the local agencies, the capacity of which will be built by HDRC.

Maharashtra has prepared the HDRs of Sangali and Buldhana. The preparation of district HDRs will be linked with the district planning process. The DHDR preparation will involve following processes:

- Identifying local agency/institute for the preparation of DHDR
- Building capacity of local agencies for preparation of DHDR by HDRC
- Facilitating and monitoring the preparation of DHDR by HDRC

## **E. Publication**

Following publications are proposed to be brought out during five years span.

- Updates of district and block level HD indicators (Printed and soft copy)  
These updates will be circulated to all MLAs, MPs, Secretaries, District Collectors, CEOs of ZPs, Commissioners of Municipal Corporations etc.
- Case study of one good practice (Soft copy)
- One HD research paper (Soft Copy)

- Report of the Research Activities undertaken (Soft Copy)
- Preparation of Website on the State Human Development

**In addition to this 10 DHDRs and one SHDR will be published as prepared.**

#### **4. Project period**

The project will be executed for the period of five years, from October, 2012 to December, 2016.

#### **5. Budget**

##### **Contributions by the State and UNDP**

The financial Contribution of the Project by the UNDP and State Govt. is given in the following table. It is proposed that in the first year, entire amount (except 10 per cent Administrative Charges) will be contributed by the UNDP and the next year onwards, the share will be contributed by the State too.

<b>Year</b>	<b>Contribution by UNDP (Rs.)</b>	<b>Contribution by State (Rs.)</b>	<b>Total (Rs.)</b>
2012 (1 <sup>st</sup> Oct. 2012 to 31 <sup>st</sup> Dec. 2012)	24,58,500	2,23,500	26,82,000
2013	38,63,750	77,79,250	1,16,43,000
2014	39,61,100	60,01,300	99,62,400
2015	52,14,825	1,55,42,715	2,07,57,540
2016	58,81,920	1,57,55,184	2,16,37,104
<b>Total</b>	<b>2,13,80,095</b>	<b>4,50,78,449</b>	<b>6,66,82,044</b>

**Also, it is proposed that the budget for the coming two years i.e. 2012 and 2013 is proposed to be final. However, for the rest of the three years it is provisional as, there may be a little change as per the need based during that period.**

**The Headwise Budget details and contribution by State and UNDP is given in Anne. A**



## Annexure A

### Budget Details

SN	Expenditure Head	Expenditure Details				Total Expenditure (Rs)					Remarks
		Units	Number of units	Rate per unit	Duration (Days)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015)	5th Year (2016)	
I	<b>Activities expenditure</b>										
A	<b>Preparation of district and block level HD indicators' updates</b>										
1	Meetings/workshops etc	Meetings/workshops	10 (For first year)	45000	1	0	450000	0	0	0	Workshops for deciding methodology and monitorable indicators, each workshop of 15 participants
2	Travel, Lodging and Boarding	Visits	15 (For first year)	20000	1	0	300000	0	0	0	
	<b>Total</b>					<b>0</b>	<b>750000</b>	<b>0</b>	<b>0</b>	<b>0</b>	

SN	Expenditure Head	Expenditure Details				Total Expenditure (Rs)					Remarks
		Units	Number of units	Rate per unit	Duration (Days)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	
I	<b>Activities expenditure</b>										
B	<b>Sensitization and capacity Building Programmes</b>										
1	Elected Representatives	Participants	400	1500	1	0	600000	0	0	700000	50 participants for first year and 500 participants in second and fifth year
2	Secretaries and HoDs	Participants	100	3000	1	225000	300000	330000	363000	399300	75 participants for first year and 100 participants each year onwards
3	For DSOs and DES staff	Participants	40	1800	2	0	144000	0	158400	0	The programme of DSOs will be conducted each year so as to facilitate the preparation of annual updates
4	District level programmes for district HoDs	Participants	70	1000	1	0	70000	77000	84700	93170	The programme of 2 district HoDs will be conducted each year to make them aware of the status of monitorable indicators

SN	Expenditure Head	Expenditure Details				Total Expenditure (Rs)					Remarks
		Units	Number of units	Rate per unit	Duration (Days)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	
I	<b>Activities expenditure</b>										
5	Capacity Building Programme for DPOs	Participants	35	1800	2	0	126000	0	138600	0	The programme will be conducted each year so as to build their capacity of linking DHDR and other HD indicators with the district planning
6	Training of trainers for district level training programmes	Participants	35	2500	3	0	262500	0	288750	0	The trainers will conduct programmes of district HoDs
7	Conducting Workshops/ Seminars at University/College level	Participants	1000	500	1	0	500000	550000	605000	665500	The training material will be reading material for the participants
8	Preparing and Distribution of Training Material	-	-	-	-	0	100000	110000	121000	133100	
	<b>Sub Total (Sensitization Programmes)</b>					<b>225000</b>	<b>2102500</b>	<b>1067000</b>	<b>1759450</b>	<b>1991070</b>	7145020

SN	Expenditure Head	Expenditure Details				Total Expenditure (Rs)					Remarks
		Units	Number of units	Rate per unit	Duration (Days)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	
I	<b>Activities expenditure</b>										
C	<b>Research</b>	-	-	-	-	200000	800000	800000	1000000	1000000	3800000
D	<b>Preparation of DHDR and SHDR</b>										
1	District level training of identified agency in 7 districts	Training programmes	2	30000	-	0	60000	66000	72600	79860	Each year preparation of DHDR of 2 districts is proposed
2	Honaorarium of the writers etc	Writers	10	75000	-	0	750000	825000	907500	998250	
3	Report Printing	District report	2	200000	-	0	400000	440000	484000	532400	
4	SHDR preparation	-	-	-	-	1500000	0	0	7000000	7000000	
	<b>Sub Total (DHDR and SHDR)</b>					<b>1500000</b>	<b>1210000</b>	<b>1331000</b>	<b>8464100</b>	<b>8610510</b>	19615610

SN	Expenditure Head	Expenditure Details				Total Expenditure (Rs)					Remarks
		Units	Number of units	Rate per unit	Duration (Days)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	
I	<b>Activities expenditure</b>										
E	<b>Information, Education &amp; Communication</b>										
1	Honorarium of the writers for the research paper	-	-	-	-	0	100000	110000	121000	133100	The soft copy will be published
2	Printing of annual update of HD indicators	-	-	-	-	0	300000	0	325000	0	
3	Preparation of website on state HD					0	50000	0	0	0	
	<b>Sub Total (Publication)</b>					0	450000	110000	446000	133100	1139100
II	<b>Travel, Lodging and Boarding</b>	-	-	-	-	50000	1000000	1300000	1600000	1900000	5850000

SN	Expenditure Head	Expenditure Details				Total Expenditure (Rs)					Remarks
		Units	Number of units	Rate per unit	Duration (Days)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	
I	<b>Activities expenditure</b>										
III	<b>Project Implementation and Monitoring</b>										
	<b>A. Staff</b>										
1	Assistant Professor	Persons	50000	1	12	0	600000	660000	726000	798600	
2	Consultant at Mantralay	Persons	50000	1	12	0	600000	660000	726000	798600	
3	Assistant Professor (Statistician)	Persons	50000	1	12	0	600000	660000	726000	798600	
4	Data Entry Operators	Person	1	19000	12 (Months)	0	228000	250800	275880	303468	
5	Research Assistants	Person	4	19000	12 (Months)	0	912000	1003200	1103520	1213872	
6	Honorarium of the Experts	persons	2500	20		50000	50000	50000	50000	50000	
	<b>Total (Staff)</b>					<b>50000</b>	<b>2990000</b>	<b>3284000</b>	<b>3607400</b>	<b>3963140</b>	13844540
	<b>B. Office Equipments (Purchase of Stationery, Laptop, Printers etc.)</b>	No.				<b>50000</b>	<b>100000</b>	<b>100000</b>	<b>100000</b>	<b>100000</b>	
III	<b>Total Project Implementing and Monitoring</b>					<b>100000</b>	<b>3090000</b>	<b>3384000</b>	<b>3707400</b>	<b>4063140</b>	

SN	Expenditure Head	Expenditure Details				Total Expenditure (Rs)					Remarks
		Units	Number of units	Rate per unit	Duration (Days)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015)	5th Year (2016)	
I	Activities expenditure										
IV	Need Based Workshops/training etc (Including Paheli)	-	-	-	-	160000	200000	200000	200000	200000	
V	Miscellaneous	-	-	-	-	0	100000	110000	121000	133100	
	<b>Grand Total</b>					<b>2235000</b>	<b>9702500</b>	<b>8302000</b>	<b>17297950</b>	<b>18030920</b>	
	Administrative Charges					447000	1940500	1660400	3459590	3606184	11113674
	<b>Sum Total</b>					<b>2682000</b>	<b>11643000</b>	<b>9962400</b>	<b>20757540</b>	<b>21637104</b>	<b>66682044</b>

## The Share of State and UNDP in the total Budget

SN	Expenditure Head	Total Expenditure (Rs) By UNDP					Total Expenditure (Rs) By State Govt.				
		1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)
I	<b>Activities expenditure</b>										
A	<b>Preparation of district and block level HD indicators' updates</b>										
1	Meetings/workshops etc	0	450000	0	0	0	0	0	0	0	0
2	Travel, Lodging and Boarding	0	0	0	0	0	0	300000	0	0	0
	<b>Total</b>	<b>0</b>	<b>450000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>300000</b>	<b>0</b>	<b>0</b>	<b>0</b>
B	<b>Sensitization and capacity Building Programmes</b>										
1	Elected Representatives	0	600000	0	0	700000	0	0	0	0	0
2	Secretaries and HoDs	225000	0	0	0	0	0	300000	330000	363000	399300
3	For DSOs and DES staff	0	0	0	0	0	0	144000	0	158400	0
4	District level programmes for district HoDs	0	0	0	0	0	0	70000	77000	84700	93170
5	Capacity Building Programme for DPOs	0	0	0	0	0	0	126000	0	138600	0
6	Training of trainers for district level training programmes	0	262500	0	288750	0	0	0	0	0	0
7	Conducting Workshops/ Seminars at University/College level	0	500000	550000	605000	665500	0	0	0	0	0



SN	Expenditure Head	Total Expenditure (Rs) By UNDP					Total Expenditure (Rs) By State Govt.				
		1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)
<b>I</b>	<b>Activities expenditure</b>										
8	Preparing and Distribution of Training Material		100000	110000	121000	133100	0	0	0	0	0
	<b>Sub Total (Sensitization Programmes)</b>	225000	1462500	660000	1014750	1498600	0	640000	407000	744700	492470
<b>C</b>	<b>Research</b>	200000	400000	400000	400000	400000	0	400000	400000	600000	600000
<b>D</b>	<b>Preparation of DHDR and SHDR</b>										
1	District level training of identified agency in 7 districts	0	0	66000	0	0	0	60000	0	72600	79860
2	Honorarium of the writers etc	0	0	825000	0	0	0	750000	0	907500	998250
3	Report Printing	0	0	440000	0	0	0	400000	0	484000	532400
4	SHDR preparation	1500000	0	0	2000000	2000000	0	0	0	5000000	5000000
	<b>Sub Total (DHDR and SHDR)</b>	1500000	0	1331000	2000000	2000000	0	1210000	0	6464100	6610510
<b>E</b>	<b>Information, Education &amp; Communication</b>										
1	Honorarium of the writers for the research paper	0	100000	0	0	0	0	0	110000	121000	133100
2	Printing of annual update of HD indicators	0	0	0	0	0	0	300000	0	325000	0
3	Preparation of website on state HD	0	0	0	0	0	0	50000	0	0	0
	<b>Sub Total (Publication)</b>	0	100000	0	0	0	0	350000	110000	446000	133100

SN	Expenditure Head	Total Expenditure (Rs) By UNDP					Total Expenditure (Rs) By State Govt.				
		1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)
I	Activities expenditure										
II	Travel, Lodging and Boarding	50000	200000	250000	300000	350000	0	800000	1050000	1300000	1550000
III	Project Implementation and Monitoring										
	A. Staff										
1	Assistant Professor	0	0	0	0	0	0	600000	660000	726000	798600
2	Consultant at Mantralay	0	600000	660000	726000	798600	0	0	0	0	0
3	Assistant Professor (Statistician)	0	0	0	0	0	0	600000	660000	726000	798600
4	Data Entry Operators	0	0	0	0	0	0	228000	250800	275880	303468
5	Research Assistants	0	0	0	0	0	0	912000	1003200	1103520	1213872
6	Honorarium of the Experts	50000	0	0	0	0	0	50000	50000	50000	50000
	<b>Total (Staff)</b>	<b>50000</b>	<b>600000</b>	<b>660000</b>	<b>726000</b>	<b>798600</b>	<b>0</b>	<b>2390000</b>	<b>2624000</b>	<b>2881400</b>	<b>3164540</b>
	B. Office Equipments (Purchase of Stationery, Laptop, Printers etc.)	50000	100000	100000	100000	100000	0	0	0	0	0

SN	Expenditure Head	Total Expenditure (Rs) By UNDP					Total Expenditure (Rs) By State Govt.				
		1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)	1st Year (October to December 2012)	2nd year (2013)	3rd year (2014)	4th year (2015 )	5th Year (2016)
I	Activities expenditure										
III	Total Project Implementing and Monitoring	100000	700000	760000	826000	898600	0	2390000	2624000	2881400	3164540
IV	Need Based Workshops/training etc (Including Paheli)	160000	200000	200000	200000	200000	0	0	0	0	0
V	Miscellaneous	0	0	0	0	0	0	100000	110000	121000	133100
	<b>Grand Total</b>	<b>2235000</b>	<b>3512500</b>	<b>3601000</b>	<b>4740750</b>	<b>5347200</b>	<b>0</b>	<b>6190000</b>	<b>4701000</b>	<b>12557200</b>	<b>12683720</b>
	<b>Administrative Charges</b>	<b>223500</b>	<b>351250</b>	<b>360100</b>	<b>474075</b>	<b>534720</b>	<b>223500</b>	<b>1589250</b>	<b>1300300</b>	<b>2985515</b>	<b>3071464</b>
	<b>Sum Total</b>	<b>2458500</b>	<b>3863750</b>	<b>3961100</b>	<b>5214825</b>	<b>5881920</b>	<b>223500</b>	<b>7779250</b>	<b>6001300</b>	<b>15542715</b>	<b>15755184</b>